

10/09/01  
695 U.S. PTO

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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> |  |
| <i>Attorney Docket No. 21.1999/CJG</i>  |  |
| <i>First Named Inventor or Application Identifier:</i>  |  |
| <i>Yoshimasa Ogawa</i>  |  |
| <i>Express Mail Label No.</i>   |  |
| <b>jc986 U.S. PTO<br/>09/775639<br/>02/05/01</b>  |  |

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| <b>APPLICATION ELEMENTS</b><br><i>See MPEP chapter 600 concerning utility patent application contents.</i>  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form</p> <p>2. <input checked="" type="checkbox"/> Specification, Claims &amp; Abstract ..... [ Total Pages: <u>30</u> ]</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) ..... [ Total Sheets: <u>16</u> (Figs. 1-2, 3A-3B, 4, 5, 6A-6C, 7, 8A-8B, 9, 10, 11-16) ]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration ..... [ Total Pages: <u>3</u> ]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (<i>for continuation/divisional with Box 17 completed</i>)</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br/>           Signed statement attached deleting inventor(s) named in the prior application,<br/>           see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Applicant claims small entity status.</p> <p>6. <input type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked)<br/>           The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Applicant Data Sheet. See 37 C.F.R. 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |   |
| <b>ACCOMPANYING APPLICATION PARTS</b>   |   |
| <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (<i>when there is an assignee</i>) <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (<i>Should be specifically itemized</i>)</p> <p>16. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>)</p> <p>17. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>18. <input type="checkbox"/> Other:</p> <p>19. If a <b>CONTINUING APPLICATION</b>, check appropriate box and supply the requisite information:<br/> <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>  /  </u></p>   |   |
| <b>20. CORRESPONDENCE ADDRESS</b>   |   |
| <br><b>21171</b><br><small>PATENT TRADEMARK OFFICE</small>   |   |

**NEW APPLICATION  
FEE TRANSMITTAL**

Attorney Docket No. 21.1999/JCG

Application Number TBA

Filing Date February 5, 2001

AMOUNT ENCLOSED \$1738.00

First Named Inventor Yoshimasa Ogama

JC986 U.S. PTO  
09/175639

02/05/01

**FEE CALCULATION** (fees effective 10/01/00)

| CLAIMS | (1) FOR  | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE     | (5) CALCULATIONS                     |
|--------|--|------------------|------------------|--------------|--------------------------------------|
|        | TOTAL CLAIMS   | 26 - 20 =        | 6                | X \$ 18.00 = | \$ 108.00                            |
|        | INDEPENDENT CLAIMS   | 14 - 3 =         | 11               | X \$ 80.00 = | 880.00                               |
|        | MULTIPLE DEPENDENT CLAIMS (any number; if applicable)                            |                  |                  | + \$270.00 = |                                      |
|        |  |                  |                  |              | <b>BASIC FILING FEE</b> 710.00       |
|        |  |                  |                  |              | Total of above Calculations = \$     |
|        | Surcharge for late filing fee, Statement or Power of Attorney (\$130.00)         |                  |                  |              | +                                    |
|        | Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28).           |                  |                  |              | -                                    |
|        |  |                  |                  |              | <b>TOTAL FILING FEE</b> = \$ 1698.00 |
|        | Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) |                  |                  |              | +                                    |
|        | Recordation of Assignment (\$40.00; 37 CFR 1.21(h)(1))                           |                  |                  |              | 40                                   |
|        |  |                  |                  |              | <b>TOTAL FEES DUE</b> = \$ 1738.00   |

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**GENERAL AUTHORIZATION**

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The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

**SUBMITTED BY: STAAS & HALSEY LLP**

|            |                         |          |        |
|------------|-------------------------|----------|--------|
| Typed Name | Christine Joan Gilsdorf | Reg. No. | 43,635 |
| Signature  | C. Joan Gilsdorf        | Date     | 2/5/01 |